### CASEY G. ROTHSCHILD

Academic CV 2-14-24

### **OFFICE ADDRESS, TELEPHONE & E-MAIL:**

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# **ACADEMIC POSITIONS:**

#### Wellesley College Department of Economics

July 2020-Present Norma Wilentz Hess Professor

July 2017-June 2020 Norma Wilentz Hess Associate Professor

Sept 2014-July 2017 Associate Professor

July 2013-July 2014 Diana Chapman Walsh Assistant Professor

July 2011-2013 Assistant Professor

#### **MIT Department of Economics**

Jan 2024-June 2024 Visiting Professor

Jan 2019-June 2019 Visiting Associate Professor Sept 2016-Dec 2016 Visiting Associate Professor Sept 2008-June 2009 Visiting Assistant Professor

#### **Harvard University Department of Economics**

Jan 2016-June 2016 Visiting Associate Professor

### Radcliffe Institute for Advanced Study at Harvard University

Sept 2014-May 2015 Katherine Hampson Bessell Fellow

#### Columbia Business School, Finance and Economics Division

Summer 2013 Adjunct Assistant Professor Summer 2011 Visiting Assistant Professor

# Middlebury College Department of Economics

July 2006-June 2011 Assistant Professor

# College of the Holy Cross

Spring 2023 Visiting Lecturer

#### **EDUCATION**:

2002-2006 Massachusetts Institute of Technology

Ph.D., Economics

Dissertation: Essays on Insurance Markets

1995-1999 Princeton University

A.B., Physics, summa cum laude

#### REFEREED PUBLICATIONS:

- "Welfare Analysis in Insurance Markets." Geneva Risk and Insurance Review. (To appear, 2024)
- "The Theory of Risk Classification" (with Keith Crocker and Arthur Snow). In *Handbook of Insurance*, Ed. George Dionne. (To appear, 2024)
- "Teaching Vaccines Using Internal-to-the-Market Externalities" (with Ziyue Chen, Fatima Djalalova, and Annette Hofmann) *Journal of Economic Education*, 54(3), 289-300 (2023).
- "Supply, Demand, and Selection in Insurance Markets: Theory and Applications in Pictures" (with Paul Thistle) *Risk Management and Insurance Review* 25(4), 419-444 (2022).
- "Technology Heterogeneity and Market Structure" (with Martin Eling, Ruo Jia and Jieyu Lin). *Journal of Risk and Insurance* 89(2), 427-448 (2022)
- "Optimal Annuitization with Imperfect Information about Insolvency Risk" (with Hui Li and Seth Neumuller). *Journal of Risk and Insurance* 88(1), 101-130 (2021).
- "Constrained Efficiency Equilibria in Selection Markets with Continuous Type Distributions" (with Irina Gemmo and Christian Kubitza). *Journal of Public Economics* 190 (2020).
- "Selection and Redistribution in the Irish Tontines of 1773, 1775, and 1777" (With Yikang Li). *Journal of Risk and Insurance* 87(3), 719-750 (2020)
- "Screening with Convex Menus and Optimal Flow Taxation." Journal of Public Economics 178 (2019).
- "On the Efficiency of Self-Protection with Spillovers in Risk." *Geneva Risk and Insurance Review* 44(2): 207-221 (2019). (With Annette Hofmann.)
- "Bridging the Gap Between Undergraduate and Graduate Economics." *Journal of Economic Education* 49(3): 242-251 (2018). (With Seth Neumuller and Akila Weerapana.)
- "Financial Sophistication and Portfolio Choice over the Life Cycle." *Review of Economic Dynamics* 26: 243-262 (2017). (With Seth Neumuller.)
- "Optimal Taxation with Rent Seeking." *Review of Economic Studies* 83(3): 1225-1262 (2016). (With Florian Scheuer.)
- "Non-exclusivity, linear pricing, and annuity market screening." *Journal of Risk and Insurance* 82(1), 1-32 (2015).
- "Economic Effects of Risk Classification Bans." *Geneva Risk and Insurance Review* 39(2), 184-221 (2014). (With Georges Dionne; also featured in the 40<sup>th</sup> anniversary collection, 2015.)
- "Redistributive taxation in the Roy model." *Quarterly Journal of Economics* 128(2), 623-668 (2013). (With Florian Scheuer.).
- "Robust adversarial risk analysis: A level-k approach." *Decision Analysis* 9, 41-54 (2012). (With Laura McLay and Seth Guikema.)
- "Adversarial risk analysis with imperfect information: A level-*k* approach." *Risk Analysis*, 32(7), 1219-1231 (2012). (With Laura McLay and Seth Guikema.)

- "Oil, Islam, women, and geography: A comment on Ross (2008)." *Quarterly Journal of Political Science* 7(1), 69-87 (2012). (With Matthew Groh.)
- "The efficiency of categorical discrimination in insurance markets." *Journal of Risk and Insurance*, 78(2), 267-285 (2011).
- "Enhancing retirement security through the tax code: The efficacy of tax-based subsidies in life annuity markets." *Journal of Pension Economics and Finance* 9(2), 185-218 (2010). (With William Gentry).
- "The welfare cost of market restrictions." *Southern Economic Journal* 77(1), 213-223 (2010). (With David Colander and Sieuwerd Gaastra.)
- "Sins of the sons of Samuelson: Vision, pedagogy, and the zigzag windings of complex dynamics." *Journal of Economic Behavior and Organization* 74(3), 277-290 (2010). (With David Colander.)
- "Adverse selection in annuity markets: Evidence from the British life annuity act of 1808." *Journal of Public Economics*, 93, 776-784 (2009).
- "The evolution of reciprocity in sizable human groups." *Journal of Theoretical Biology*, 257(4), 609-617 (2009).
- "Redistribution by insurance market regulation: Analyzing a ban on gender-based retirement annuities." *Journal of Financial Economics*, 91(1), 38-58 (2009). (With Amy Finkelstein and James Poterba.)
- "Payoff continuity in incomplete information games: a comment." *Journal of Economic Theory*, 120, 270-274. (2005).
- "Antineutrino geophysics with liquid scintillator detectors." *Geophysical Research Letters*, 25(7), 1083-1086 (1998). (With Mark Chen and Frank Calaprice).

#### **WORKING PAPERS**:

- "Screening and the Welfare Trade-Offs of Privacy." (with Anastasios Dosis). Current ver: Jan 2024
- "Optimal Taxation with Endogenous Preference Overlap" Current version: June 2022
- "Moral Hazard and Market Capacity: Evidence from a Natural Experiment in Germany" (with Johannes Jaspersen, Andreas Richter, and Roxane Steinacker). Current version: June 2021.
- "A theory of income taxation under multidimensional skill heterogeneity" (with Florian Scheuer). Available as NBER Working Paper 19822. Current version: January 2016.

#### OTHER PUBLICATIONS, CONFERENCE PROCEEDINGS, AND REVIEWS:

- "Special Issue "Covid-19: The economics of pandemic risks and insurance" of the *Geneva Risk and Insurance Review*." *Geneva Risk and Insurance Review* 45(2) 75-79 (2020). (With Alexander Muermann.)
- "Special Issue "New Developments in the Economics of Insurance Markets with Adverse Selection" of the *Geneva Risk and Insurance Review*." *Geneva Risk and Insurance Review* 39(2) 131-135 (2014). (With Alexander Muermann.)

"Risk Classification in Health Insurance." In: Anthony J. Culyer (ed.), Encyclopedia of Health Economics, Vol 3. San Diego: Elsevier 2014. Pp 272-280. (With Georges Dionne.)

Review of <u>From Optimal Tax Theory to Tax Policy: Retrospective and Prospective Views</u>, by Robin Boadway. *Journal of Economic Literature*, 50(4), 1119-22 (2012).

"Neuroeconomics: A cautionary primer." in <u>The Neuroscientific Turn: Transdisciplinarity in the Age of the Brain</u>. Melissa Littlefield and Janelle Johnson, Eds. Ann Arbor: University of Michigan Press (2012). (With J. Niels Rosenquist.)

"A guide for "JEE content" submissions." *Journal of Economic Education* 45 (2), 159-165 (2014). (With David Colander, Robert Goldfarb, and Mark Setterfield.)

"A guide for "JEE content" submissions," *Journal of Economic Education* 40 (3), 262-271 (2009). (With David Colander, Jessica Holmes, and Mark Setterfield.)

"Economy update: 2009." Supplement for Economics 7th edition, David Colander, McGraw-Hill (2009).

"Complexity and macro pedagogy," in <u>Macroeconomic Theory and Macroeconomic Pedagogy</u>, Guiseppe Fontana and Mark Setterfield, eds., Basingstoke (UK) and New York (USA): Palgrave Macmillan (2009). (with David Colander.)

"Mineral physics constraints on the interior structure and composition of Jupiter's Galilean satellites." *EOS Trans. American Geophysical Union* F1139 (1999). (With Thomas Duffy.)

### **COURSES TAUGHT/ACADEMIC INITIATIVES:**

Wellesley College: Introductory Micro Analysis (Econ 101, 101p) Intermediate Micro Analysis (Econ 201); Intermediate Macro Analysis (Econ 202); Wellesley Initiative for Scholars of Economics (Econ 251H); Fossil Fuel Divestment: Student Action at Wellesley (Econ/ES 199); Strategy and Information (Econ 322); Contemporary Economic Issues (Writ 112/Econ 104); Director, Summer Social Science Research Program (July 2015-Present); Wellesley Initiative for Scholars of Economics (March 2017-Present)

Harvard University: Public Economics and Fiscal Policy II (Econ 2450b); Public Economics: Designing Government Policy (Econ 1410)

Middlebury College: Senior Research Workshop (Econ 700); Senior Seminar in Public Finance (Econ 470); Individual, Social, and Political Choice (Econ 347); Macroeconomic Theory (Econ 250); Popular Economics (Econ 1010); Contemporary Economics (First year seminar 1271)

MIT: Game Theory (14.12); Strategy and Information (14.16); Principles of Microeconomics (14.01) Columbia University: Global Economic Environment I and II

Research Center SAFE/Goethe University Frankfurt: Modern approaches to equilibrium in insurance markets (mini-PhD course)

Roxbury Latin School (1999-2002): AP Microeconomics; AP Macroeconomics

# PROFESSIONAL ACTIVITIES:

Editorial Service: Geneva Risk and Insurance Review (special issue co-editor, 2014; editor-in-chief, 2020-), Journal of Economic Education (editorial board), Journal of Risk and Insurance (editorial board)

Referee Service: Econometrica, American Economic Review, American Economic Review: Insights,

Journal of Political Economy, Journal of Political Economy: Microeconomics, Quarterly Journal of Economics, Review of Economic Studies, Journal of Public Economics, Theoretical Economics,

Journal of Economic Theory, Economic Journal, International Economic Review, Journal of the European Economic Association, European Economic Review, American Economic Journal:

Policy, Canadian Journal of Economics, Proceedings of the Royal Society B, Economica, Review of Economic Design, Journal of Economic Education, Geneva Risk and Insurance Review, Journal of Risk and Insurance, North American Actuarial Journal, Risk Management and Insurance Review,

Journal of Pension Economics and Finance, Operations Research, Decision Analysis, National Tax Journal, Journal of Accounting and Economics, Financial History Review, Risk Analysis, Economic Inquiry, Public Choice, Journal of Public Economic Theory, International Tax and Public Finance, Journal of Mathematical Economics, Explorations in Economic History, Fiscal Studies, Journal of Insurance Issues, Scottish Journal of Political Economy, Social Choice and Welfare, Erasmus Journal of Political Economy, Journal of Economic Dynamics and Control

Association Membership: Risk Theory Society, American Risk and Insurance Association, American Economic Association, European Group of Risk and Insurance Economists, National Tax Association Association Leadership: Risk Theory Society Secretary/President/Past President (2019/2020-21/2022), American Risk and Insurance Association Board of Directors 2018-2021

**Author:** Problems and solutions for Gruber <u>Public Finance and Public Policy, 2e, 3e, 5e,</u> Worth (2007/09/15) **Other:** Kulp-Wright Book Award selection committee, 2013, 2016, 2017 (chair); ARIA Program Committee, 2014-23; ARIA membership committee chair 2018-2021; ARIA Early Career Award selection committee, 2015, 2016, 2017, 2018, 2020; ARIA-ASSA Program Director (2018)

# **INVITED PRESENTATIONS AND TALKS:**

2023 University of Cincinnati, University of Iowa, EGRIE, University of Georgia

2022 Temple University; Birkbeck, University of London; College of the Holy Cross, CICIRM Keynote, EGRIE Keynote discussion

2021 Universität Hamburg; Taiwan Risk and Insurance Association Keynote (Dec 2021)

2020 Bentley University

2019 University of Wisconsin

2018 Risk Theory Society, Goethe University Frankfurt, Amherst College, Temple University, National Tax Association Meetings

2017 ASSA Meetings; Middlebury College; ARIA Meetings

2016 Harvard University

2015 St. John's University

2014 UPenn, GSU, Cologne Taxation Theory Conference

2013 NBER Spring Public Economics Meeting; Conference on Private Information, Interdependent Preferences and Robustness: Theory and Applications (Bonn); Vienna Graduate School of Finance; Bocconi University

2012 AEA Meetings; Uppsala University; University of Guelph; University of Alberta; Vanderbilt Taxation Theory Conference; LMU (Munich) Management and Microeconomics Seminar; University of Georgia; NTA Meetings

2011 UMass Amherst; Wellesley College; NBER Summer Institute; NTA Meetings

2010 AEA meetings

2009 AEA meetings; Risk Theory Society

2008 AEA meetings; University of Vermont; Searle Center, Northwestern University (discussant); Paris School of Economics; Stanford Institute for Theoretical Economics; University of Wisconsin

2007 American Risk and Insurance Association Annual Meetings

2006 American Council for Capital Formation Research Forum on *The role of annuities in bolstering U.S. Retirement Security*; Risk Theory Society Meetings; Wharton Institute of Risk Management; Dartmouth College; Middlebury College; Econometric Society Winter Meetings; SITE 2005 NBER Insurance Workshop; Williams College

# HONORS, SCHOLARSHIPS, AND FELLOWSHIPS:

2023	Best Article, Risk Management and Insurance Review
2022	Harris Schlesinger Prize for Research Excellence
2021	Economic Journal Referee Award
2021	National Tax Journal Reviewer of the Year Award
2018	Research Center SAFE Senior Research Visitor
2016	Anna and Samuel Pinanski Prize for Excellence in Teaching
2016	Robert C. Witt Award: best 2015 feature article, Journal of Risk and Insurance
2015	SCOR-Geneva Risk and Insurance Review Best Paper Award
2014-15	Radcliffe Institute for Advanced Study Katherine Hampson Bessell Fellowship

2014	ARIA Early Career Scholarly Achievement Award
2012	Certificate of Reviewing Excellence, Journal of Public Economics
2012	Best Paper, 2012, Risk Analysis
2012	Robert C. Witt Award: best 2011 feature article, Journal of Risk and Insurance
2006	MIT Robert M. Solow Endowment Prize for Graduate Student Excellence in
	Teaching and Research
2004-2006	National Science Foundation Graduate Research Fellowship
2002-2004	MIT Presidential Fellowship
2002-2003	MIT Castle Krob Graduate Student Fellowship
1999	Kusaka Memorial Prize in Physics, Princeton University
1995	United States Physics Olympiad Team Member